

Notice of Allowability

Application No.

09/941,960

Applicant(s)

FISCHER ET AL.

Examiner

Romain Jeanty

Art Unit

3623

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 7/18/07.
2. ☒ The allowed claim(s) is/are 1,6-8,10-12,17-19,21 and 26-28.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
- (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
- 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
- (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☒ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date _____
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☒ Interview Summary (PTO-413), Paper No./Mail Date _____
7. ☒ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other _____.

Romain Jeanty
Primary Examiner
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EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Steven J. Laureanti (Reg. No. 50274) on September 27, 2007.

The application has been amended as follows:

Claim 1:

Line 9, after "plan;," insert --automatically communicate a notification regarding the existence of an exception to one or more of the entities; receive a request for information about the exception from one or more of the entities in response to the notification; --

Line 10, before communication, insert --automatically--.

Line 11, delete "entities", insert --entities in response to receiving a request, the manager application controlling access to the planning information based on a permissibility framework--.

Claim 12:

Line 5, after "plan;," insert --automatically communicate a notification regarding the existence of an exception to one or more of the entities; receive a request for information about the exception from one or more of the entities in response to the notification; --

Line 7, before communication, insert --automatically--.

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Line 8, delete “entities”, insert --entities in response to receiving a request, the manager application controlling access to the planning information based on a permissibility framework--.

Claim 21:

Line 6, after “plan;”, insert --automatically communicate a notification regarding the existence of an exception to one or more of the entities; receive a request for information about the exception from one or more of the entities in response to the notification; --

Line 7, before communication, insert --automatically--.

Line 8, delete “entities”, insert --entities in response to receiving a request, the manager application controlling access to the planning information based on a permissibility framework--.

Claim 30:

Line 6, after “plan;”, insert --automatically communicate a notification regarding the existence of an exception to one or more of the entities; receive a request for information about the exception from one or more of the entities in response to the notification; --

Line 8, before communication, insert --automatically--.

Line 9, delete “entities”, insert --entities in response to receiving a request, the manager application controlling access to the planning information based on a permissibility framework--.

Reasons for Allowance

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2. Claims 1, 6-8, 10-12, 17-19, 21 and 26-28 are allowed.
3. The following is an examiner's statement of reasons for allowance:

The closest prior art of record is to Lidow (U.S. Patent No. 7,003,474) in view of Proquest (Logility Announces Voyager XPS; Internet-based Application Assists Companies with Collaborative Planning, Forecasting and Replenishment (CPFR) Process). Applicant's arguments filed on July 18, 2007 (pages 11-13 in particular) are deemed to be persuasive and adequately reflect the Examiner's opinion as to why claims 1, 6-8, 10-12, 17-19, 21 and 26-28 are allowable over the prior art of record.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

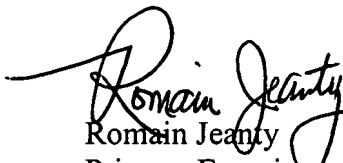
- a. Gourley (WO0051038) discloses an inventory control system for receiving production planning data in a value chain system.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Romain Jeanty whose telephone number is (571) 272-6732. The examiner can normally be reached on Mon-Thurs 7:30 am to 6:00 pm.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tariq R. Hafiz can be reached on (571) 272-6729. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.


Romain Jeanty
Primary Examiner
Art Unit 3623
September 30, 2007